

**FORM ADV PART 2A
DISCLOSURE BROCHURE**

Stonekeep Investments, LLC

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This brochure provides information about the qualifications and business practices of Stonekeep Investment, LLC. Being registered as an investment adviser does not imply a certain level of skill or training. If you have any questions about the contents of this brochure, please contact us at 615-975-3635. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about Stonekeep Investments, LLC (CRD #306144) is available on the SEC's website at www.adviserinfo.sec.gov

SEPTEMBER 7, 2023

Item 2: Material Changes

Annual Update

The Material Changes section of this brochure will be updated annually or when material changes occur since the previous release of the Firm Brochure.

Material Changes since the Last Update

Since the last filing of this brochure on January 20, 2023, the following has been changed:

- Item 4 – to update the assets under management for the firm.
 - Items 5 and 18 have been updated for SEC registration.
 - Item 19 and Item 7 of ADV2B have been removed for SEC registration.
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Full Brochure Available

This Firm Brochure being delivered is the complete brochure for the Firm.

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Item 4: Advisory Business

Firm Description

Stonekeep Investments, LLC (“Stonekeep”) was founded in 2019. Michael Chase Reid and Scott Geppert are Co-Owners.

Types of Advisory Services

ASSET MANAGEMENT

Stonekeep offers discretionary asset management services to advisory Clients. Stonekeep will offer Clients ongoing asset management services through determining individual investment goals, time horizons, objectives, and risk tolerance. Investment strategies, investment selection, asset allocation, portfolio monitoring and the overall investment program will be based on the above factors. The Client will authorize Stonekeep discretionary authority to execute selected investment program transactions as stated within the Investment Advisory Agreement.

FINANCIAL PLANNING AND CONSULTING

If financial planning services are applicable, a thorough review of all applicable topics including but not limited to, Retirement Planning, Succession Planning, Education Planning, Legacy Planning, Insurance Planning, Investment Planning, Budget Planning, Personal Financial Planning, Tax Planning, Major Purchase Planning, Divorce Planning, Debt Management Planning, Business Exit Planning, and Cash Flow Analysis will be reviewed. If a conflict of interest exists between the interests of Stonekeep and the interests of the Client, the Client is under no obligation to act upon Stonekeep’s recommendation. If the Client elects to act on any of the recommendations, the Client is under no obligation to affect the transaction through Stonekeep. Financial plans will be completed and delivered inside of ninety (90) days contingent upon timely delivery of all required documentation.

Client Tailored Services and Client Imposed Restrictions

The goals and objectives for each Client are documented in our Client files. Investment strategies are created that reflect the stated goals and objectives. Clients may impose restrictions on investing in certain securities or types of securities. Agreements may not be assigned without written Client consent.

Wrap Fee Programs

Stonekeep does not sponsor any wrap fee programs.

Client Assets Under Management

Stonekeep has the following assets under management:

Discretionary Amounts:	Non-discretionary Amounts:	Date Calculated:
\$142,700,000	\$0	August 25, 2023

Item 5: Fees and Compensation

Method of Compensation and Fee Schedule

ASSET MANAGEMENT

Stonekeep offers discretionary direct asset management services to advisory Clients. Stonekeep charges an annual investment advisory fee based on the total assets under management as follows:

Assets Under Management	Annualized Fee	Monthly Fee	Quarterly Fee
First \$0 - \$1,000,000	1.00%	0.084%	0.250%
All Assets Above \$1,000,000	0.50%	0.042%	0.125%

This is a blended fee schedule; the asset management fee is calculated by applying different rates to different portions of the portfolio. Stonekeep may group certain related Client accounts for the purposes of achieving the minimum account size and determining the annualized fee.

For example, a Client with \$1,500,000 under management would pay \$12,500 on an annual basis.

First \$1,000,000 x .01 = \$10,000

Next \$500,000 x .0050 = \$2,500

The annual fee may be negotiable based upon certain criteria (e.g., historical relationship, type of assets, anticipated future earning capacity, anticipated future additional assets, dollar amounts of assets to be managed, related accounts, account composition, negotiations with Clients, etc.). Fees are billed quarterly or monthly in advance based on the amount of assets managed as of the close of business on the last business day of the previous billing period. Lower fees for comparable services may be available from other sources. Clients may terminate their account within five (5) business days of signing the Investment Advisory Agreement with no obligation and without penalty. Clients may terminate advisory services with thirty (30) days written notice. For accounts opened or closed mid-billing period, fees will be prorated based on the number of days that services are provided during the given period. All unpaid earned fees will be due to Stonekeep. Additionally, all unearned fees will be refunded to the Client. Client shall be given thirty (30) days prior written notice of any increase in fees. Any increase in fees will be acknowledged in writing by both parties before any increase in said fees occurs.

FINANCIAL PLANNING AND CONSULTING

Stonekeep charges an hourly fee for financial planning. Prior to the planning process the Client will be provided an estimated plan fee. Services are completed and delivered inside of ninety (90) days contingent upon timely delivery of all required documentation. Client may cancel within five (5) business days of signing Agreement with no obligation and without penalty. If the Client cancels after five (5) business days, any unpaid earned fees will be due to Stonekeep. Stonekeep reserves the right to waive the fee should the Client implement the plan through Stonekeep. Fees for financial plans are due upon delivery of the completed plan.

HOURLY FEES

Financial Planning Services are offered based on an hourly fee of \$200 per hour.

Client Payment of Fees

Investment management fees are billed monthly or quarterly in advance. Fees are usually deducted from a designated Client account to facilitate billing. The Client must consent in advance to direct debiting of their investment account.

Fees for financial plans are due upon delivery of the completed plan.

Stonekeep, in its sole discretion, may charge a lesser investment advisory fee based upon certain criteria (e.g., historical relationship, type of assets, anticipated future earning capacity, anticipated future additional assets, dollar amounts of assets to be managed, related accounts, account composition, negotiations with Clients, etc.).

Additional Client Fees Charged

Custodians may charge transaction fees on purchases or sales of certain mutual funds, equities, and exchange-traded funds. These charges may include mutual fund transaction fees, postage and handling and miscellaneous fees. For more details on the brokerage practices, see Item 12 of this brochure.

Prepayment of Client Fees

Stonekeep does not require any prepayment of fees of more than \$1,200 per Client and six months or more in advance.

Investment management fees are billed monthly or quarterly in advance.

If the Client cancels after five (5) business days, any unearned fees will be refunded to the Client, or any unpaid earned fees will be due to Stonekeep.

External Compensation for the Sale of Securities to Clients

Stonekeep does not receive any external compensation for the sale of securities to Clients, nor do any of the investment advisor representatives of Stonekeep.

Item 6: Performance-Based Fees and Side-by-Side Management

Sharing of Capital Gains

Fees are not based on a share of the capital gains or capital appreciation of managed securities.

Stonekeep does not use a performance-based fee structure because of the conflict of interest. Performance based compensation may create an incentive for Stonekeep to recommend an investment that may carry a higher degree of risk to the Client.

Item 7: Types of Clients

Description

Stonekeep generally provides investment advice to individuals, high net worth individuals, charitable organizations, corporations or business entities. Client relationships vary in scope and length of service.

Account Minimums

Stonekeep does not require a minimum to open an account.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

Security analysis methods may include fundamental analysis, technical analysis, charting, and cyclical analysis. Investing in securities involves risk of loss that Clients should be prepared to bear. Past performance is not a guarantee of future returns.

Fundamental analysis concentrates on factors that determine a company's value and expected future earnings. This strategy would normally encourage equity purchases in stocks that are undervalued or priced below their perceived value. The risk assumed is that the market will fail to reach expectations of perceived value.

Technical analysis attempts to predict a future stock price or direction based on market trends. The assumption is that the market follows discernible patterns and if these patterns can be identified then a prediction can be made. The risk is that markets do not always follow patterns and relying solely on this method may not take into account new patterns that emerge over time.

Charting analysis strategy involves using and comparing various charts to predict long and short term performance or market trends. The risk involved in using this method is that only past performance data is considered without using other methods to crosscheck data. Using charting analysis without other methods of analysis would be making the assumption that past performance will be indicative of future performance. This may not be the case.

Cyclical analysis assumes that the markets react in cyclical patterns which, once identified, can be leveraged to provide performance. The risks with this strategy are twofold: 1) the markets do not always repeat cyclical patterns; and 2) if too many investors begin to implement this strategy, then it changes the very cycles these investors are trying to exploit.

In developing a financial plan for a Client, Stonekeep's analysis may include cash flow analysis, investment planning, risk management, tax planning and estate planning. Based on the information gathered, a detailed strategy is tailored to the Client's specific situation.

The main sources of information include financial newspapers and magazines, annual reports, prospectuses, and filings with the Securities and Exchange Commission.

Investment Strategy

The investment strategy for a specific Client is based upon the objectives stated by the Client during consultations. The Client may change these objectives at any time by providing written notice to Stonekeep. Each Client executes a Client profile form or similar form that documents their objectives and their desired investment strategy.

Other strategies may include long-term purchases, short-term purchases, trading, and option writing (including covered options, uncovered options or spreading strategies).

Security Specific Material Risks

All investment programs have certain risks that are borne by the investor. Our investment approach constantly keeps the risk of loss in mind. Investors face the following investment risks and should discuss these risks with Stonekeep:

- *Market Risk:* The prices of securities held by mutual funds in which Clients invest may decline in response to certain events taking place around the world, including those directly involving the companies whose securities are owned by a fund; conditions affecting the general economy; overall market changes; local, regional or global political, social or economic instability; and currency, interest rate and commodity price fluctuations. Investors should have a long-term perspective and be able to tolerate potentially sharp declines in market value.
- *Interest-rate Risk:* Fluctuations in interest rates may cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.
- *Inflation Risk:* When any type of inflation is present, a dollar today will buy more than a dollar next year, because purchasing power is eroding at the rate of inflation.
- *Currency Risk:* Overseas investments are subject to fluctuations in the value of the dollar against the currency of the investment's originating country. This is also referred to as exchange rate risk.
- *Reinvestment Risk:* This is the risk that future proceeds from investments may have to be reinvested at a potentially lower rate of return (i.e. interest rate). This primarily relates to fixed income securities.
- *Liquidity Risk:* Liquidity is the ability to readily convert an investment into cash. Generally, assets are more liquid if many traders are interested in a standardized product. For example, Treasury Bills are highly liquid, while real estate properties are not.
- *Management Risk:* The advisor's investment approach may fail to produce the intended results. If the advisor's assumptions regarding the performance of a specific asset class or fund are not realized in the expected time frame, the overall performance of the Client's portfolio may suffer.
- *Equity Risk:* Equity securities tend to be more volatile than other investment choices. The value of an individual mutual fund or ETF can be more volatile than the market as a whole. This volatility affects the value of the Client's overall portfolio. Small- and mid-cap companies are subject to additional risks. Smaller companies may experience greater volatility, higher failure rates, more limited markets, product lines, financial resources, and less management experience than larger companies. Smaller companies may also have a lower trading volume, which may disproportionately affect their market price, tending to make them fall more in response to selling pressure than is the case with larger companies.
- *Fixed Income Risk:* The issuer of a fixed income security may not be able to make interest and principal payments when due. Generally, the lower the credit rating of a

security, the greater the risk that the issuer will default on its obligation. If a rating agency gives a debt security a lower rating, the value of the debt security will decline because investors will demand a higher rate of return. As nominal interest rates rise, the value of fixed income securities held by a fund is likely to decrease. A nominal interest rate is the sum of a real interest rate and an expected inflation rate.

- *Investment Companies Risk:* When a Client invests in open end mutual funds or ETFs, the Client indirectly bears their proportionate share of any fees and expenses payable directly by those funds. Therefore, the Client will incur higher expenses, which may be duplicative. In addition, the Client's overall portfolio may be affected by losses of an underlying fund and the level of risk arising from the investment practices of an underlying fund (such as the use of derivatives). ETFs are also subject to the following risks: (i) an ETF's shares may trade at a market price that is above or below their net asset value or (ii) trading of an ETF's shares may be halted if the listing exchange's officials deem such action appropriate, the shares are delisted from the exchange, or the activation of market-wide "circuit breakers" (which are tied to large decreases in stock prices) halts stock trading generally. Adviser has no control over the risks taken by the underlying funds in which Client invests.
- *REIT Risk:* To the extent that a Client invests in REITs, it is subject to risks generally associated with investing in real estate, such as (i) possible declines in the value of real estate, (ii) adverse general and local economic conditions, (iii) possible lack of availability of mortgage funds, (iv) changes in interest rates, and (v) environmental problems. In addition, REITs are subject to certain other risks related specifically to their structure and focus such as: dependency upon management skills; limited diversification; the risks of locating and managing financing for projects; heavy cash flow dependency; possible default by borrowers; the costs and potential losses of self-liquidation of one or more holdings; the possibility of failing to maintain exemptions from securities registration; and, in many cases, relatively small market capitalization, which may result in less market liquidity and greater price volatility.
- *Long-term purchases:* Long-term investments are those vehicles purchased with the intension of being held for more than one year. Typically, the expectation of the investment is to increase in value so that it can eventually be sold for a profit. In addition, there may be an expectation for the investment to provide income. One of the biggest risks associated with long-term investments is volatility, the fluctuations in the financial markets that can cause investments to lose value.
- *Short-term purchases:* Short-term investments are typically held for one year or less. Generally, there is not a high expectation for a return or an increase in value. Typically, short-term investments are purchased for the relatively greater degree of principal protection they are designed to provide. Short-term investment vehicles may be subject to purchasing power risk — the risk that your investment's return will not keep up with inflation.
- *Trading risk:* Investing involves risk, including possible loss of principal. There is no assurance that the investment objective of any fund or investment will be achieved.

- *Options Trading:* The risks involved with trading options are that they are very time sensitive investments. An options contract is generally a few months. The buyer of an option could lose his or her entire investment even with a correct prediction about the direction and magnitude of a particular price change if the price change does not occur in the relevant time period (i.e., before the option expires). Additionally, options are less tangible than some other investments. An option is a “book-entry” only investment without a paper certificate of ownership.

Item 9: Disciplinary Information

Criminal or Civil Actions

Stonekeep and its management have not been involved in any criminal or civil action.

Administrative Enforcement Proceedings

Stonekeep and its management have not been involved in administrative enforcement proceedings.

Self-Regulatory Organization Enforcement Proceedings

Stonekeep and its management have not been involved in legal or disciplinary events that are material to a Client’s or prospective Client’s evaluation of Stonekeep or the integrity of its management.

Item 10: Other Financial Industry Activities and Affiliations

Broker-Dealer or Representative Registration

Stonekeep is not registered as a broker-dealer and no affiliated representatives of Stonekeep are registered representatives of a broker-dealer.

Futures or Commodity Registration

Neither Stonekeep nor its affiliated representatives are registered or have an application pending to register as a futures commission merchant, commodity pool operator, or a commodity trading advisor.

Material Relationships Maintained by this Advisory Business and Conflicts of Interest

Mr. Reid and Mr. Geppert do not maintain any material relationships or outside business activities to disclose.

Recommendations or Selections of Other Investment Advisors and Conflicts of Interest

Stonekeep does not select or recommend other investment advisors for compensation.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics Description

The affiliated persons (affiliated persons include employees and/or independent contractors) of Stonekeep have committed to a Code of Ethics (“Code”). The purpose of our Code is to set forth standards of conduct expected of Stonekeep affiliated persons and addresses conflicts that may arise. The Code defines acceptable behavior for affiliated

persons of Stonekeep. The Code reflects Stonekeep and its supervised persons' responsibility to act in the best interest of their Client.

One area which the Code addresses is when affiliated persons buy or sell securities for their personal accounts and how to mitigate any conflict of interest with our Clients. We do not allow any affiliated persons to use non-public material information for their personal profit or to use internal research for their personal benefit in conflict with the benefit to our Clients.

Stonekeep's policy prohibits any person from acting upon or otherwise misusing non-public or inside information. No advisory representative or other affiliated person, officer or director of Stonekeep may recommend any transaction in a security or its derivative to advisory Clients or engage in personal securities transactions for a security or its derivatives if the advisory representative possesses material, non-public information regarding the security.

Stonekeep's Code is based on the guiding principle that the interests of the Client are our top priority. Stonekeep's officers, directors, advisors, and other affiliated persons have a fiduciary duty to our Clients and must diligently perform that duty to maintain the complete trust and confidence of our Clients. When a conflict arises, it is our obligation to put the Client's interests over the interests of either affiliated persons or the company.

The Code applies to "access" persons. "Access" persons are affiliated persons who have access to non-public information regarding any Clients' purchase or sale of securities, or non-public information regarding the portfolio holdings of any reportable fund, who are involved in making securities recommendations to Clients, or who have access to such recommendations that are non-public.

Stonekeep will provide a copy of the Code of Ethics to any Client or prospective Client upon request.

Investment Recommendations Involving a Material Financial Interest and Conflict of Interest

Stonekeep and its affiliated persons do not recommend to Clients securities in which we have a material financial interest.

Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest

Stonekeep and its affiliated persons may buy or sell securities that are also held by Clients. In order to mitigate conflicts of interest such as trading ahead of Client transactions, affiliated persons are required to disclose all reportable securities transactions as well as provide Stonekeep with copies of their brokerage statements.

The Chief Compliance Officer of Stonekeep is Michael Chase Reid. He reviews all trades of the affiliated persons each quarter. The personal trading reviews ensure that the personal trading of affiliated persons does not affect the markets and that Clients of the firm receive preferential treatment over associated persons' transactions.

**Client Securities Recommendations or Trades and Concurrent Advisory Firm
Securities Transactions and Conflicts of Interest**

Stonekeep does maintain a firm proprietary trading account and affiliated persons may buy or sell securities at the same time they buy or sell securities for Clients. In order to mitigate conflicts of interest such as front running, the firm and affiliated persons are required to disclose all reportable securities transactions as well as provide Stonekeep with copies of their brokerage statements.

The Chief Compliance Officer of Stonekeep is Michael Chase Reid. He reviews all employee trades each quarter. The personal trading reviews ensure that the personal trading of affiliated persons does not affect the markets and that Clients of the firm receive preferential treatment over associated persons' transactions.

Item 12: Brokerage Practices

Factors Used to Select Broker-Dealers for Client Transactions

Stonekeep will recommend the use of a particular broker-dealer based on their duty to seek best execution for the client, meaning they have an obligation to obtain the most favorable terms for a client under the circumstances. The determination of what may constitute best execution and price in the execution of a securities transaction by a broker involves a number of considerations and is subjective. Factors affecting brokerage selection include the overall direct net economic result to the portfolios, the efficiency with which the transaction is affected, the ability to effect the transaction where a large block is involved, the operational facilities of the broker-dealer, the value of an ongoing relationship with such broker and the financial strength and stability of the broker. Stonekeep will select appropriate brokers based on a number of factors including but not limited to their relatively low transaction fees and reporting ability. Stonekeep relies on its broker to provide its execution services at the best prices available. Lower fees for comparable services may be available from other sources. Clients pay for any and all custodial fees in addition to the advisory fee charged by Stonekeep. Stonekeep does not receive any portion of the trading fees.

Stonekeep will recommend the use of Charles Schwab & Co., Inc., Altruist, and/or My529.

- *Directed Brokerage*

In circumstances where a Client directs Stonekeep to use a certain broker-dealer, Stonekeep still has a fiduciary duty to its Clients. The following may apply with Directed Brokerage: Stonekeep's inability to negotiate commissions, to obtain volume discounts, there may be a disparity in commission charges among Clients and conflicts of interest arising from brokerage firm referrals. The firm may be unable to achieve most favorable execution of client transactions, and this practice may cost clients more money.

- *Best Execution*

Investment advisors who manage or supervise Client portfolios have a fiduciary obligation of best execution. The determination of what may constitute best execution and price in the execution of a securities transaction by a broker involves a number of considerations and is subjective. Factors affecting brokerage selection

include the overall direct net economic result to the portfolios, the efficiency with which the transaction is affected, the ability to affect the transaction where a large block is involved, the operational facilities of the broker-dealer, the value of an ongoing relationship with such broker and the financial strength and stability of the broker. The firm does not receive any portion of the trading fees.

- *Soft Dollar Arrangements*

The Securities and Exchange Commission defines soft dollar practices as arrangement under which products or services other than execution services are obtained by Stonekeep from or through a broker-dealer in exchange for directing Client transactions to the broker-dealer. As permitted by Section 28(e) of the Securities Exchange Act of 1934, Stonekeep receives economic benefits as a result of commissions generated from securities transactions by the broker-dealer from the accounts of Stonekeep. These benefits include both proprietary research from the broker and other research written by third parties.

A conflict of interest exists when Stonekeep receives soft dollars. This conflict is mitigated by the fact that Stonekeep has a fiduciary responsibility to act in the best interest of its Clients and the services received are beneficial to all Clients.

Stonekeep utilizes the services of custodial broker dealers. Economic benefits are received by Stonekeep which would not be received if Stonekeep did not give investment advice to Clients. These benefits include: A dedicated trading desk, a dedicated service group and an account services manager dedicated to Stonekeep's accounts, ability to conduct "block" Client trades, electronic download of trades, balances and positions, duplicate and batched Client statements, and the ability to have advisory fees directly deducted from Client accounts.

Aggregating Securities Transactions for Client Accounts

Stonekeep is authorized in its discretion to aggregate purchases and sales and other transactions made for the account with purchases and sales and transactions in the same securities for other Clients of Stonekeep. All Clients participating in the aggregated order shall receive an average share price with all other transaction costs shared on a pro-rated basis.

Item 13: Review of Accounts

Schedule for Periodic Review of Client Accounts or Financial Plans and Advisory Persons Involved

Account reviews are performed quarterly by the Chief Compliance Officer, Michael Chase Reid, of Stonekeep. Account reviews are performed more frequently when market conditions dictate. Reviews of Client accounts include, but are not limited to, a review of Client documented risk tolerance, adherence to account objectives, investment time horizon, and suitability criteria, reviewing target bans of each asset class to identify if there is an opportunity for rebalancing, and reviewing accounts for tax loss harvesting opportunities.

Financial plans generated are updated as requested by the Client and pursuant to a new or amended agreement, Stonekeep suggests updating at least annually.

Review of Client Accounts on Non-Periodic Basis

Other conditions that may trigger a review of Clients' accounts are changes in the tax laws, new investment information, and changes in a Client's own situation.

Content of Client Provided Reports and Frequency

Clients receive written account statements no less than quarterly for managed accounts. Account statements are issued by Stonekeep's custodian. Client receives confirmations of each transaction in account from Custodian and an additional statement at least quarterly.

Item 14: Client Referrals and Other Compensation

Economic Benefits Provided to the Advisory Firm from External Sources and Conflicts of Interest

Stonekeep receives additional economic benefits from external sources as described above in Item 12.

Stonekeep has an agreement with Altruist Financial, LLC where Stonekeep refers unaffiliated registered investment advisors to Altruist to act a custodian. Altruist will pay Stonekeep 5 basis points of the total assets the referred advisor manages on Altruist.

Advisory Firm Payments for Client Referrals

Stonekeep does not compensate for Client referrals.

Item 15: Custody

Account Statements

All assets are held at qualified custodians, which means the custodians provide account statements directly to Clients at their address of record at least quarterly. Clients are urged to compare the account statements received directly from their custodians to any documentation or reports prepared by Stonekeep.

Stonekeep is deemed to have limited custody solely because advisory fees are directly deducted from Client's accounts by the custodian on behalf of Stonekeep.

Item 16: Investment Discretion

Discretionary Authority for Trading

Stonekeep requires discretionary authority to manage securities accounts on behalf of Clients. Stonekeep has the authority to determine, without obtaining specific Client consent, the securities to be bought or sold, and the amount of the securities to be bought or sold.

Stonekeep allows Client's to place certain restrictions, as outlined in the Client's Investment Policy Statement or similar document. These restrictions must be provided to Stonekeep in writing.

The Client approves the custodian to be used and the commission rates paid to the custodian. Stonekeep does not receive any portion of the transaction fees or commissions paid by the Client to the custodian.

Item 17: Voting Client Securities

Proxy Votes

We do not vote Client proxies or advise clients as to how they should vote. Therefore, Clients maintain exclusive responsibility for: (1) voting proxies, and (2) acting on corporate actions pertaining to the Client's investment assets. To the extent that a client has designated Stonekeep to receive proxy voting materials on its behalf by indicating as such on his or her brokerage account paperwork, we will not notify such client that it has received any proxy voting materials or forward any proxy voting materials to such client unless it is specifically requested by client in writing that we do so. Clients reserve the right to instruct the custodian to deliver proxy voting materials directly to them at any time.

Item 18: Financial Information

Balance Sheet

A balance sheet is not required to be provided because Stonekeep does not serve as a custodian for Client funds or securities and Stonekeep does not require prepayment of fees of more than \$1,200 per Client and six months or more in advance.

Financial Conditions Reasonably Likely to Impair Advisory Firm's Ability to Meet Commitments to Clients

Stonekeep has no condition that is reasonably likely to impair our ability to meet contractual commitments to our Clients.

Bankruptcy Petitions during the Past Ten Years

Stonekeep has not had any bankruptcy petitions in the last ten years.

- 1.

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Michael Chase Reid, CFA, CPWA®

Stonekeep Investments, LLC

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7763 La Haye Drive
Irving, TX 75063

Tel: 615-975-3635

chase@stonekeepinvestments.com

www.stonekeepinvestments.com

This brochure supplement provides information about Michael Chase Reid and supplements the Stonekeep Investments, LLC brochure. You should have received a copy of that brochure. Please contact Michael Chase Reid if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Chase Reid (CRD #6919056) is available on the SEC's website at www.adviserinfo.sec.gov.

SEPTEMBER 7, 2023

Brochure Supplement (Part 2B of Form ADV) Supervised Person Brochure

Principal Executive Officer – Michael Chase Reid, CFA, CPWA®

- Year of birth: 1988
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Item 2 - Educational Background and Business Experience

Educational Background:

- Vanderbilt University; B.A. Economics with a Minor in Finance; 2011

Business Experience:

- Bretagne, LLC; Special Projects Manager; 12/2021 - Present
- Stonekeep Investments, LLC; Managing Member/Investment Advisor Representative; 09/2019 – Present
- Exacta Systems, LLC; Advisory Board Member; 01/2021 – 08/2023
- Westwood Wealth Management; Investment Advisor Representative; 03/2018–10/2019
- Westwood Holding Group; Associate Analyst; 02/2017 – 03/2018
- Westwood Holding Group; Research Associate; 12/2013 – 02/2017
- Westwood Holdings Group; Intern; 07/2013 – 12/2013
- Avondale Partners; Intern; 04/2013 – 05/2013
- Unemployed; 11/2012 – 03/2013
- St. Louis Cardinals; Pitcher; 06/2010 – 10/2012
- Vanderbilt University; Student; 07/2007 – 12/2011

Professional Designations:

Chartered Financial Analyst (CFA): Chartered Financial Analysts designation is awarded by the CFA Institute. CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent educational or work experience.
- Successful completion of all three exam levels of the CFA Program.
- Have 48 months of acceptable professional work experience in the investment decision-making process.
- Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor statements as part of each application; these are submitted online by your sponsors.
- Agree to adhere to and sign the Member's Agreement, a Professional Conduct Statement, and any additional documentation requested by CFA Institute.

Certified Private Wealth Advisor (CPWA): issued by the Investment Management Consultants Association. Candidates must have:

- A satisfactory record of ethical conduct, as determined by IMCA's Admissions Committee.
- Five years of professional Client-centered experience in financial services or a related industry.

- Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA, CIMC, CFA, CFP, ChFC or CPA license.
- Complete a six-month pre-study education component and five day university-sponsored classroom training.
- Pass a final exam.
- Complete 40 hours of Continuing Education every two years.

Item 3 - Disciplinary Information

- A. Mr. Reid has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
1. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Reid never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority (a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- C. Mr. Reid has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO’s rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.

- D. Mr. Reid has not been involved in Any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.
-

Item 4 - Other Business Activities Engaged In

Mr. Reid is a Special Projects Manager for Bretagne, LLC. Mr. Reid spends approximately 10% of his time in this business. This business practice is not a conflict of interest as it is not investment related and Stonekeep Clients will not be solicited and there will not be any crossover clients.

Item 5 - Additional Compensation

Mr. Reid receives compensation for his role as a project manager. Mr. Reid does not receive commissions or any performance-based fees.

Item 6 - Supervision

Since Mr. Reid is the CCO and investment adviser representative of Stonekeep he is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients. He will adhere to the policies and procedures as described in the firm's Compliance Manual. He can be reached at chase@stonekeepinvestments.com or 615-975-3635.

Item 1 Cover Page
SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Scott Geppert, CFA

Stonekeep Investments, LLC

Office Address:
7763 La Haye Drive
Irving, TX 75063

Tel: 972-965-5803

scott@stonekeepinvestments.com

www.stonekeepinvestments.com

This brochure supplement provides information about Scott Geppert and supplements the Stonekeep Investments, LLC brochure. You should have received a copy of that brochure. Please contact Scott Geppert if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Scott Geppert (CRD #5548961) is available on the SEC's website at www.adviserinfo.sec.gov.

SEPTEMBER 7, 2023

Brochure Supplement (Part 2B of Form ADV) Supervised Person Brochure

Scott Geppert, CFA

- Year of birth: 1986
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Item 2 - Educational Background and Business Experience

Educational Background:

- Southern Methodist University; Bachelor of Arts in Finance; 05/2009
- Southern Methodist University; Bachelor of Science in Economics; 05/2009

Business Experience:

- Stonekeep Investments, LLC; Member; 08/2020 - Present
- Stonekeep Investments, LLC; Investment Advisor Representative; 01/2020 – Present
- LCM Group; Senior Portfolio Associate; 03/2011 – 01/2020
- Toyota Financial Services; Finance Analytics Manager; 06/2016 – 03/2019
- Westwood Holdings Group; Research Analyst; 03/2012 – 05/2016
- Prudential Capital Group; Senior Investment Analyst; 07/2009 – 03/2012

Professional Designations:

Chartered Financial Analyst (CFA): Chartered Financial Analysts designation is awarded by the CFA Institute. CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent educational or work experience.
 - Successful completion of all three exam levels of the CFA Program.
 - Have 48 months of acceptable professional work experience in the investment decision-making process.
 - Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor statements as part of each application; these are submitted online by your sponsors.
 - Agree to adhere to and sign the Member's Agreement, a Professional Conduct Statement, and any additional documentation requested by CFA Institute.
-

Item 3 - Disciplinary Information

- A. Mr. Geppert has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
1. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;

3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Geppert never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority (a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- C. Mr. Geppert has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO's rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
- D. Mr. Geppert has not been involved in Any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities Engaged In

Mr. Geppert does not maintain any material relationships or outside business activities to disclose.

Item 5 - Additional Compensation

Mr. Geppert does not receive commissions or any performance-based fees.

Item 6 - Supervision

Scott Geppert is supervised by Michael Chase Reid, Owner and Chief Compliance Officer of Stonekeep Investments, LLC. Mr. Reid reviews Mr. Geppert's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions. Mr. Reid can be contacted at chase@stonekeepinvestments.com or 615-975-3635.